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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 5

Applicant Number	09/967,272
Filing Date	September 28, 2001
First Named Inventor	Carlton Bartels
Art Unit	3624
Examiner Name	Kelly Scaggs Campen
Attorney Docket Number	CF-55
Confirmation Number	6788

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
CB		4,731,575	3/1988	Sloan	
		4,750,119	6/1988	Cohen et al.	
		5,237,507	8/1993	Chasek	
		5,297,026	3/1994	Hoffman	
		5,519,622	5/1996	Chasek	
		5,532,928	7/1996	Stanczyk et al.	
		5,621,654	4/1997	Cohen et al.	
		5,664,112	9/1997	Sturgeon et al.	
		5,696,695	12/1997	Ehlers et al.	
		5,726,884	3/1998	Sturgeon et al.	
		5,794,212	8/1998	Mistr, Jr.	
		5,894,422	4/1999	Chasek	
		5,915,244	6/1999	Jack et al.	
		5,924,486	7/1999	Ehlers et al.	
		5,930,773	7/1999	Crooks et al.	
		5,962,989	10/1999	Baker	
		6,047,274	4/2000	Johnson et al.	
		6,067,549	5/2000	Smalley et al.	
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		6,256,640	7/2001	Smalley et al.	
		6,678,620	1/2004	Tamai et al.	
		6,904,336	6/2005	Raines et al.	
CB		2001/0032168	10/2001	Adarnson et al.	

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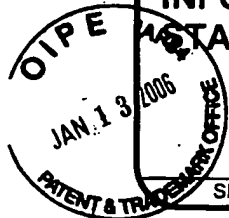
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
VA		Chinn, Lily N., "Can the Market Be Fair and Efficient? An Environmental Justice Critique of Emissions Trading", 26 Ecology L.Q. 80 (1999).	
VP		Driesen, David, "Is Emissions Trading An Economic Incentive Program?: Replacing The Command And Control/Economic Incentive Dichotomy", 55 Wash. & Lee L. Rev. 289 (1998).	

Examiner Signature		Date Considered	3/2000
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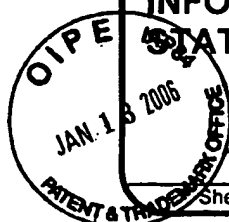
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16	Gorrell, M., "Taking Pollution To The 'Bank' Pays Dividends Program Offers Utah Firms A Reward For Cleaning Up", The Salt Lake Tribune, May 29, 1995, at D3.	
1	Holly, Chris, "EC Moves On Greenhouse Emissions Trading Plan", The Energy Daily, 28 (53), Mar. 2000.	
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	"SCAQMD Proposes Adding Natural Gas Cuts To Its Reclaim Trading Program", Utility Environment Report, May 27, 1994, at 9.	
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	California Energy Commission, "1999 Electricity Generation Emissions Report", Jul. 1999.	
	"Green Light", The National Journal, 25 (24), Jun. 12, 1993, at 1421.	
16	"Canada's first greenhouse gas emission reduction exchange opens," Oil and Gas Inquirer v12:7, July 2000, at 40-41.	

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Colton et al "Keys to Successful Carbon Dioxide Market: Program Structure and Secondary Trading," Energy Efficiency and Global Environment - Biennial Conference, 1995, at 61-74.

All references have been considered

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